

DATE: January 5, 2019

CIRCULARS

RBI

- Customer Protection – Limiting Liability of Customers in Unauthorised Electronic Payment Transactions in Prepaid Payment Instruments (PPIs) issued by Authorised Non-banks

NSE

- Standardisation of Register of Securities, Holding Statement, Bank Book and Client Ledger- "Upload of Holding Statement"
- CM - Settlement calendar for February 2019
- Public Issue of Secured redeemable non-convertible debentures by M/s Shriram Transport Finance Company Limited
- Settlement schedule for January 2019 - Physical Settlement in Equity Derivatives
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Applicability of Additional Surveillance Measure (ASM)
- FILE FORMAT FOR CONTRACT REPORTS
- CDS - MONTHLY SETTLEMENT SCHEDULE FOR FEBRUARY 2019
- Commodity Derivatives Segment - Settlement Calendar for February 2019
- Commodity Derivatives Segment - Settlement Calendar for February 2019

- [Physical settlement of stock derivatives](#)
- [Applicability of Short Term Additional Surveillance Measure \(ST-ASM\)](#)
- [SEBI Order in respect of Galaxy Broking Limited in the matter of Sarang Chemicals Limited](#)
- [Applicability of Additional Surveillance Measure \(ASM\)](#)

BSE

- [Applicability of Additional Surveillance Measure](#)
- [Standardization of Register of Securities, Holding Statement, Bank Book and Client Ledger – “Upload of Holding Statement”](#)
- [Graded Surveillance Measure \(GSM\) – Shortlisting of scrips](#)
- [Enhanced supervision: Monitoring of Clients’ Funds lying with the Stock Broker for the week ended January 4, 2019.](#)
- [SEBI directions w.r.t. listed Shell Companies – List of companies](#)
- [Applicability of Short Term Additional Surveillance Measure \(ST-ASM\)](#)
- [Graded Surveillance Measure \(GSM\) – List of securities moving in to their respective GSM Stages](#)
- [Applicability of Additional Surveillance Measure](#)
- [Applicability of Short Term Additional Surveillance Measure \(ST-ASM\)](#)

ICEX

- No New Circular

MCX

- [Disclosures by Stock Exchanges for commodity derivatives](#)
- [Commencement of Futures Trading in Gold Mini April 2019 contract and LTP Based Spread Trading Facility in Gold Mini Futures Contracts](#)
- [Warehouse for Delivery of Cotton Bales](#)

- Procedure for dealing in RBD Palmolein at MCXCCL accredited storage Tank

SEBI

- Acceptance of Probate of Will or Will for Transmission of Securities held in dematerialized mode
- Reporting for Artificial Intelligence (AI) and Machine Learning (ML) applications and systems offered and used by market intermediaries
- Disclosures by Stock Exchanges for commodity derivatives
- Order in respect of Galaxy Broking Limited in the matter of Sarang Chemicals Limited
- Order in the matter of Parsvnath Developers Limited
- Securities and Exchange Board of India (Issue Of Capital And Disclosure Requirements) Regulations, 2018 [Last amended on December 31, 2018]
- Adjudication Order in respect of Sai Televisions Ltd in the matter of SCORES Authentication

NSDL

- No New Circular

NCDEX

- Application for Surrender of membership

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